ULTRA DOWNSIZING OF INTERNAL COMBUSTION ENGINES: SIMULATANEOUS INCREASING OF THERMAL CONVERSION EFFICIENCY AND POWER WHILE REDUCING EMISSIONS

V. Gheorghiu

Hamburg University of Applied Sciences (HAW)
Faculty Engineering and Informatics (TI)
Department of Mechanical Engineering (MP)
Berliner Tor 21, 20099 Hamburg, Germany

Victor.Gheorghiu@haw-hamburg.de
Keywords: Atkinson Cycle, Ultra-Downsizing, High Pressure Turbocharging, CO₂ and NOₓ Reduction

ABSTRACT

The downsizing of internal combustion engines (ICE) is already recognized as a very suitable method for the concurrent enhancement of indicated fuel conversion efficiency (IFCE) and the lowering of CO₂ and NOₓ emissions [1], [2].

In this report, ultra-downsizing is introduced as an even higher stage of development of ICE. Ultra-downsizing will be implemented here by means of real Atkinson cycles using asymmetrical crank mechanisms, combined with multi-stage high-pressure turbocharging and very intensive intercooling. This will allow an increase of ICE performance while keeping the thermal and mechanical strain strength of engine components within the current usual limits.

INTRODUCTION

The scarcity of oil and gas reserves and the global warming phenomenon both urge the automotive industry towards a decrease in fuel consumption and thus a reduction in CO₂ emissions. These factors will also determine the future R&D trends for ICE.

Downsizing of ICE means simultaneous decreasing the displaced volume (usually by reducing the number of cylinders) and increasing the indicated mean effective pressure (IMEP) by means of turbocharging [1], [2]. This allows the preservation of power and torque performance while decreasing the engine size. As a result, a) the mechanical and thermal losses are reduced, b) the engine becomes lighter, leading to a drop in the overall weight of the vehicle, and c) the engine operates more within its optimum fuel consumption zone. The advantages offered by a) and b) hold true even for ICE used in hybrid propulsion systems, while the advantage c) is already a feature of full-hybrid vehicles.

The level of downsizing determines the strength of the thermal and mechanical strains of engine components. In order to avoid exceeding the usual limits, either the boost pressure or the volumetric compression ratio (VCR) must be reduced accordingly. As a consequence, the whole potential of downsizing is not achieved and the IFCE and IMEP remain at a low level.

The current ICEs have classical (symmetrical) crank mechanisms (i.e. compression and expansion strokes of equal length) and follow the Seiliger cycles. Real implemented Atkinson cycles require unequal strokes featuring a shorter compression stroke, which leads to a higher IFCE [3]. Atkinson cycles have been implemented so far mostly with symmetrical crank mechanisms, where the intake valves are closed very late in the cycle [4]. Thus, a part of the charge sucked into the cylinder is pushed back to the intake pipes, and the effective
compression stroke is decreased. This quasi implementation of Atkinson cycles shows no noticeable improvements of the IFCE and, hence, it will not be discussed in the course of this paper [3].

Real Atkinson cycles can be implemented only with the help of asymmetrical crank mechanisms. This allows using concurrently very high boost pressures (to increase the IMEP) and higher VCR (to enhance the IFCE) and to set them much more independently of each other compared to Seiliger cycles [3]. As an important part of the fresh charge compression takes place beyond the cylinder, the high compressed fresh charge can be cooled intensively before it is sucked into the cylinder. The following moderate compression in the cylinder (i.e. with relative lower VCR) leads to lower temperature peaks during the combustion process and, consequently, to less NO\textsubscript{x} emissions.

This approach has already been proved in several previous theoretical investigations based on ideal Seiliger and Atkinson cycles [3]. These investigations did not take into consideration the effect of heat exchange and frictional losses on the cycle in order to make it easier to check the solution and to draw a comparison between the Seiliger and Atkinson cycles. The performances achieved for IFCE and IMEP using this method are therefore unrealistically high and serve only as a general indication [3].

This Paper expands on the previous investigations from [3] to real Atkinson cycles by using the simulation tool BOOST (AVL Co). This tool allows consideration of the true geometrical dimensions of the engine components (cylinder, valves, channels, pipes, manifolds, turbocharger, intercooler, silencer etc.) and the losses caused by friction and heat transfer along the intake and exhaust gas pipes. In addition, the power balance of turbochargers determines the actual boost pressure level of the engine.

The turbochargers (TC) are modeled for these investigations in a simple manner. It describes the expansion process in the turbines (Tx) by means of their discharge coefficients while the air compaction in the compressors occurs up to a maximum pressure ratio which depends on the available turbine output. To be able to simulate cycles with very high boost pressures as well, three intercooled TC are placed in line (three-stage turbocharging, see Fig. 1). When the boost pressure required for preserving the pressure limit on the cycle is low, the superfluous TC are kept for simplicity and comparability in use (i.e. are not bypassed). The expansion and compression ratios of the turbines and compressors tend gradually toward 1, i.e. these TC switch off themselves thermodynamically.

The asymmetrical crank mechanism used here can realize classical piston displacements for the Seiliger as well as for the Atkinson cycles with various asymmetries between the compression and expansion strokes (see. Fig. 2A) and enable the variation of the VCR and volumetric expansion ratio (VER) (see Fig. 2B).

As previously mentioned, the expansion and compression strokes are identical in the case of the Seiliger cycle. The limitation of the maximum pressure during the cycle determines the pair of parameters VCR - boost pressure. If a relatively high boost pressure is desired, the VCR must be reduced accordingly in order to accomplish the maximum pressure limitation on the cycle. This will also decrease the IFCE since it is determined primarily by the VCR. Furthermore, the expansion in the cylinder occurs largely incomplete and the exhaust gases exit the cylinder with still too high specific enthalpy, which decreases the IFCE even further. However, the expansion of exhaust gases in the turbines with its high specific enthalpy can only be used partly for driving the compressors and, therefore, for enhancing the boost pressure because it exceeds the pressure upper limit during the cycle.
Figure 1 BOOST model of a four cylinder TC engine
Simple number denotes pipes, $C_x = \text{cylinder}$, $CO_x = \text{cooler}$, $TC_x = \text{turbochargers}$, $PL_x = \text{plenum}$, $J_x = \text{junctions}$, $CL_x = \text{cleaner}$, $SB_x = \text{system boundaries}$, $E_x = \text{engine}$ and $MP_x = \text{measuring points}$

Figure 2A Relative displacements of the asymmetrical crank mechanism used in the IC A
The Atkinson ($Atk$) cycles are implemented by means of varying the eccentric radius $exx$ of the crank mechanism used. The Seiliger cycle is realized with zero eccentric radius.
The Atkinson cycles are implemented here by means of varying the parameter \( g \) of the crank mechanism used. The dashed curve represents the null position where a) expansion and exhaust and b) intake and compression strokes are identical.

The facts can be summarized as follows:

- To raise the IFCE, most of the working gas expansion should occur within the cylinder.
- If, however, the expansion process within the cylinder occurs completely (a complete expansion occurs up to the ambient pressure in an ideal case), then no more boost pressure can be generated.
- In order to increase the expansion part within the cylinder, the crank mechanism must provide a higher VER. This makes a long expansion stroke (and therefore an engine with a long piston displacement) necessary. However, that leads to high IFCE but quite low indicated specific power (kW/liter) and IMEP of the engine.
- If the IFCE and the IMEP were to be raised simultaneously, the engine must be turbocharged and the ratio between the expansions within the cylinder and within the turbines (i.e. between internal and external expansion) must be optimized.
- To be able to optimize the ratio between internal and external expansions, regardless of VCR, an asymmetrical crank mechanism is required in order to implement real Atkinson cycles.

The simulations in this Paper are carried out in the following two investigation cases (IC):

In the **IC A**, the simulated variants are based on a steady VER and a varied VCR. This means that identical expansion and exhaust strokes are kept unchanged and the identical intake and compression strokes are varied significantly - by means of varying the eccentric radius \( \text{exx} \) of the crank mechanism used - to allow the modification of the ratio between internal and external expansion. Some variants of the asymmetrical piston displacement are displayed in Fig. 2A.

In the **IC B**, the simulated variants are based on a steady eccentric radius (\( \text{e32} \)) where VER, VCR, volumetric intake ratio (\( \text{VIR} \)) and volumetric exhaust ratio (\( \text{VXR} \)) are varied simultaneously by means of the parameter \( g \). Eleven variants of the asymmetrical piston displacement are displayed in Fig. 2B.
The goal of this Paper is to look for the optimum ratio between internal and external expansion, which leads simultaneously to maximizing the IFCE and enabling sufficiently high values of IMEP.

**SETTINGS OF THE SIMULATIONS FOR BOTH IC**

The simulations of the piston displacements presented in Fig. 2A are carried out using the BOOST model from Fig. 1. The parameters and the performance of seven cycles are shown in Table 1A. Many of the parameters from all cycles are kept identical in order to make comparison easier.

Most parameters of the BOOST model are selected for a hypothetical engine and are kept unchanged for all simulations. Among these are the following parameters:

- All geometrical dimensions (with the exception of the crank mechanism)
- Valve timing
- Wall temperatures, heat transfer coefficients, efficiencies and pressure losses of the intercoolers (target efficiency = 0.75, target pressure drop = 5 kPa), friction coefficients in the pipes (0.019)
- Efficiency of the turbochargers (compressor efficiency = 0.75, turbocharger overall efficiency = 0.5)
- Blow by gap size of the cylinder, frictional characteristic curve of the engine etc.
- AFR, combustion parameter, engine speed (see Table 1A)

A simple Vibe function is selected in order to model the combustion process. The different positions of the TDC in the Atkinson and Seiliger cycles (see Fig. 2A and 5A) are compensated by choosing a suitable start of combustion (SOC), so that combustion begins in all cycles uniformly at 15°C before TDC.

<table>
<thead>
<tr>
<th>Cycle</th>
<th>VER</th>
<th>VCR</th>
<th>(\mu_{TX})</th>
<th>(\mu_{TZ})</th>
<th>n (rpm)</th>
<th>AFR</th>
<th>SOC °CA</th>
<th>CD</th>
<th>(m_{vibe})</th>
</tr>
</thead>
<tbody>
<tr>
<td>Atk e14</td>
<td>27.0</td>
<td>20.6</td>
<td>0.480</td>
<td>0.258</td>
<td>0.161</td>
<td>3000</td>
<td>14.6</td>
<td>-21</td>
<td>86</td>
</tr>
<tr>
<td>Atk e26</td>
<td>27.0</td>
<td>16.2</td>
<td>0.430</td>
<td>0.231</td>
<td>0.144</td>
<td>3000</td>
<td>14.6</td>
<td>-24</td>
<td>86</td>
</tr>
<tr>
<td>Atk e38</td>
<td>27.0</td>
<td>12.7</td>
<td>0.335</td>
<td>0.180</td>
<td>0.112</td>
<td>3000</td>
<td>14.6</td>
<td>-30</td>
<td>86</td>
</tr>
<tr>
<td>Atk e50</td>
<td>27.0</td>
<td>9.7</td>
<td>0.256</td>
<td>0.138</td>
<td>0.086</td>
<td>3000</td>
<td>14.6</td>
<td>-36</td>
<td>86</td>
</tr>
<tr>
<td>Atk e62</td>
<td>27.0</td>
<td>7.1</td>
<td>0.199</td>
<td>0.106</td>
<td>0.067</td>
<td>3000</td>
<td>14.6</td>
<td>-42</td>
<td>86</td>
</tr>
<tr>
<td>Seiliger</td>
<td>7.0</td>
<td>7.0</td>
<td>0.330</td>
<td>0.177</td>
<td>0.111</td>
<td>3000</td>
<td>14.6</td>
<td>-15</td>
<td>86</td>
</tr>
<tr>
<td>Seiliger</td>
<td>15.0</td>
<td>15.0</td>
<td>0.620</td>
<td>0.333</td>
<td>0.208</td>
<td>3000</td>
<td>14.6</td>
<td>-15</td>
<td>86</td>
</tr>
</tbody>
</table>

| Cycle   | VER | VCR | IFCE | IMEP (max|\(p_{MP8}\)|\(T_{MP8}\)|\(p_{MP12}\)|\(T_{MP12}\)|\(m_{vibe}\)|\(m_{vibe}\) |
|---------|-----|-----|-----|----------|---------|---------|---------|---------|---------|
| Atk e14 | 27.0| 20.6| 0.419| 25.7     | 231     | 2209    | 3.02    | 336     | 2.79    | 867    |
| Atk e26 | 27.0| 16.2| 0.423| 28.2     | 234     | 2197    | 4.08    | 343     | 3.78    | 877    |
| Atk e38 | 27.0| 12.7| 0.423| 27.9     | 237     | 2182    | 5.13    | 346     | 4.79    | 877    |
| Atk e50 | 27.0| 9.7 | 0.413| 26.7     | 235     | 2167    | 6.55    | 348     | 6.16    | 913    |
| Atk e62 | 27.0| 7.1 | 0.391| 24.6     | 229     | 2152    | 8.78    | 356     | 8.07    | 915    |
| Seiliger| 7.0 | 7.0 | 0.301| 57.9     | 232     | 2292    | 12.48   | 450     | 15.85   | 1396   |
| Seiliger| 15.0| 15.0| 0.383| 42.1     | 232     | 2344    | 4.62    | 361     | 4.62    | 1127   |

Table 1A Parameter (top) and Performance (bottom) for IC A

This table shows the VER (volumetric expansion ratio), VCR (volumetric compression ratio), \(\mu_{TX}\) (turbine discharge coefficients), n (engine speed), AFR (air-fuel ratio), SOC (start of combustion), CD (combustion duration), \(m_{vibe}\) (exponent of Vibe function for cylinder heat release modeling), IFCE (indicated fuel conversion efficiency), IMEP (indicated mean pressure), max(\(p\)) and max(\(T\)) (maximum pressure and temperature during the cycle), \(p_{MP8}\) and \(T_{MP8}\) (mean boost pressure and temperature; i.e. at the measuring point MP8, see Fig. 1) and \(p_{MP12}\) and \(T_{MP12}\) (mean exhaust back pressure and temperature; i.e. at MP12, see Fig. 1) for cylinder 1.
The various parameters from Table 1A for the IC A and from the Fig. 10B for the IC B are selected for the purpose of obtaining roughly the same maximum cylinder pressure \( \text{max}(p) \approx 230 \) bar in all cycles. In order to reach this state, the discharge coefficients of the three turbines (\( \mu_{T1}, \mu_{T2} \) and \( \mu_{T3} \)) are varied according to a) the influence of the back pressure behind the cylinder (e.g. at the measuring point MP12 for cylinder 1; see Fig. 1) and of b) the boost pressure (e.g. at MP8 for cylinder 1). In order to reach approximately the same expansion rate in all three turbines, their discharge coefficients are set at the same level and compensated with the cross sections ratios of the turbine output pipes. Hence, only the discharge coefficient of the third turbine \( \mu_{T3} \) is adapted for each cycle to meet the cylinder peak pressure limit, since this sets the level of the other two discharge coefficients \( \mu_{T2} \) and \( \mu_{T1} \) (see Table 1A and Fig. 10B).

SIMULATION RESULTS AND TRENDS FOR THE IC A

The following trends arise from the analysis of performance based on the values presented in Table 1A:

- All Atkinson cycles show better IFCE values than the Seiliger cycles (see also Fig. 4A).
- However, the Seiliger cycles reach higher IMEP values because of the longer intake stroke and thus, bigger gas mass sucked in (see Fig. 9A).
- Higher boost pressures \( p_{MP8} \) are required in Atkinson as well as in Seiliger cycles in order to hold the parameter \( \text{max}(p) \) steady when VCR is reduced (see Table 1A).
- The comparison of the Atk e62 (with VCR = 7.1) and Seiliger (with VCR = 7) cycles shows that a) the Atkinson cycle has a 30% higher IFCE and reaches 58% less IMEP and b) the Seiliger cycle needs a 30% higher boost pressure (\( p_{MP8} \) in Table 1A) and has to overcome a 50% higher cylinder back pressure - i.e. before \( T3 \) (\( p_{MP12} \) in Table 1A).
- The comparison of the Atk e38 (with VCR = 12.7) and Seiliger (with VCR = 15) cycles shows that the Atkinson cycle has a 10% higher IFCE (although the maximum cylinder temperature \( \text{max}(T) \) is 160 K, i.e. 7% lower) and 34% less IMEP.
- The highest IFCE value for Atkinson cycles is not reached in the variant with the highest VCR, but in the variant where the VCR is about 50% of VER. Consequently, the optimum variant features an intake stroke equal to approx. 50% of the expansion stroke.
- Some diagrams are introduced and analyzed below in order to find out the causes behind these trends. The pressure-volume (p,V) diagrams of all cycles and pressure-specific volume (p,v) diagrams of the intake and exhaust gas paths (for cylinder 1) are presented in Fig. 4A and 5A.
- It can be inferred from Table 1A as well as recognized from Fig. 3A and 4A that the Seiliger cycle with VCR = 7 needs the highest boost pressure to reach the desired \( \text{max}(p) \approx 230 \) bar (because of its low VCR). An extremely high back pressure \( p_{MP12} \) and the diminishing of ISFC are the consequences because of the very intensive exhaust work required to push out the exhaust gases from the cylinder (see green curves up to \( \text{ec} \) points in Fig. 3A, 5A and 6A). Therefore, this cycle occurs exclusively in the pressure range above 10 bar.
- The situation is reversed in the case of the Atkinson cycle Atk e38 (see for comparison Table 1A and Fig. 4A, 7A and 8A). This cycle occurs exclusively in the pressure range above 5 bar (see Fig. 3A).
Figure 3A Cylinder pressure (logarithmic) - displacement volume (p,V) diagrams with valves timing for all cycles
Here eo denotes exhaust open, ec exhaust closed, io intake open, ic intake closed

Figure 4A Pressure - specific volume (p,v) diagrams for some MP from the intake (solid lines) and exhaust (dashed lines) pipes for two selected cycles
The numbers denote the states of measuring points from Fig. 1.
**Figure 6A** Temperature - specific entropy (T,s) diagrams with valves timing for all cycles. Details C and D are presented in expanded form in Fig. 7A and 8A.

**Figure 7A** Temperature - specific entropy (T,s) diagrams for some MP (see Fig. 1) from intake pipes (dashed lines) superposed on C Detail of Fig. 6A (solid lines) for two selected cycles.
The differences between both cycles (i.e. Atk e38 and Seiliger with VCR = 7) can be clearly seen in the intake and exhaust gas paths. Fig. 4A and 7A display the three-stage compression of the air and all states after passing through each compressor and intercooler (with associated pressure losses). Fig. 4A and 8A show the three-stage expansion of the exhaust gases in the turbines. In Fig. 8A the discharge coefficients are properly adapted between the turbines because the expansion occurs almost linearly in all three stages.

The air compression and the exhaust gas expansion for the cycle Atk e38 occur mostly in TC3 (see Fig. 4A, 7A and 8A), because the exhaust gas pressure at the MP18 point (i.e. before T3, see Fig. 4A and 8A) is too low (see. also Table 1A) to be able to drive adequately T2 and T1. Consequently, the exhaust gases compress partly in T2 and T1 instead of expanding (see MP19 to MP21 in Fig. 4A). No modification of the IFCE sequence between variants is obtained by deleting TC1 from the BOOST model (i.e. there is no need to remove the unnecessary TC in these simulations).

Figure 9A Gas mass - displacement volume (m,V) diagrams with valve timing for all cycles
SIMULATION RESULTS AND TRENDS FOR IC B

The following trends arise from the analysis of parameter and performances presented in Fig. 10B:

- Such a crank mechanism - permitting the variation of the VCR (here by means of parameter \( g \)) - makes it possible to realize Atkinson cycles for part and full-load operating points (OPs), where IMEP varies between 8.5 and 42 bar, even with stoichiometric AFR and without throttling.
- IFCE varies in all these OPs only within a 6% wide band (related to its maximum, see Fig. 11B and 13B, too).
- The maximal cylinder pressure remains in all these OPs at ca. 230 bar and the maximal cylinder temperature varies between 1800 and 2300 K (see Fig. 12B and 13B). The optimization of the heat release could reduce the maximal cylinder temperature significantly (see [3]).
- The boost pressure (\( p_{\text{MP8}} \)) reaches in variant \( g+2 \) (see legend) nearly 12 bar, while the boost temperature (\( T_{\text{MP8}} \)) does not exceed 360 K (see Fig. 10B). In this case the filling of the cylinder is maximal (see Fig. 14B).
- The exhaust gas temperatures before turbine \( T_3 \) (\( T_{\text{MP12}} \)) reaches only maximal 1000 K because of the extended expansion within the cylinder (see Fig. 10B). A benefit is that the turbine wheel must not be protected (e.g. by making the mixture leaner) against a higher gas temperature, and a disadvantage is that a higher exhaust gas pressure before \( T_3 \) (\( p_{\text{MP12}} \)) is required for achieving the desired boost pressure (\( p_{\text{MP8}} \)).

![Figure 10B Parameter (left) and performance (right) for IC B](image)

The parameters displayed have the same meaning as shown in Table 1A and Fig. 2B.
The boost pressure ($p_{MP8}$) reaches in variant g+2 (see legend) nearly 12 bar, while the boost temperature ($T_{MP8}$) does not exceed 360 K (see Fig. 10B). In this case the filling of the cylinder is maximal (see Fig. 14B). 

The exhaust gas temperatures before turbine T3 ($T_{MP12}$) reaches only maximal 1000 K because of the extended expansion within the cylinder (see Fig. 10B). A benefit is that the turbine wheel must not be protected (e.g. by making the mixture leaner) against a higher gas temperature, and a disadvantage is that a higher exhaust gas pressure before T3 ($p_{MP12}$) is required for achieving the desired boost pressure ($p_{MP8}$). 

The required higher exhaust gas pressure before T3 ($p_{MP12}$) (i.e. the cylinder back pressure) diminishes the level of IFCE significantly (i.e. by ca. 25%, see IFCE variation in Fig. 11B between 540°CA and ec position). The load independence of these IFCE losses is quite unexpected, but if the difference between cylinder pressure at eo and back pressure ($p_{MP12}$) in Fig. 12B is noted, the positive effect of the exhaust gases released from the cylinder becomes evident.
Figure 12B Cylinder pressure (logarithmic) - displacement volume ($p,V$) diagrams with valves timing for all cycles

Figure 13B Temperature - specific entropy ($T,s$) diagrams with valves timing for all cycles
- An additional optimization of valve timing can diminish the back pressure and thus these IFCE losses considerably.
- Residual gas concentration decreases while VXR and boost pressure increase (see Fig. 10B). The increase in VXR makes the cylinder exhaust more complete (see Fig. 12B) and the increase in boost pressure favors the scavenging of rest gases from the cylinder.

**Figure 14B** Gas mass - displacement volume (m,V) diagrams with valves timing for all cycles

**CONCLUSION**

The implementation of real Atkinson cycles for turbocharged engines using asymmetrical crank mechanisms offers the following advantages: a) relatively high IMEP, b) higher IFCE leading to few CO₂ emissions and c) lower temperatures during the combustion stage leading to few NOₓ emissions.

In order to achieve these performances, the engine requires the use of turbocharger systems with at least two stages which must be adapted accordingly and controlled with the help of bypasses to maximize their performance.

The optimum ratio between the internal (i.e. within the cylinder) and external (i.e. within turbines) expansion of the exhaust gases which maximize IFCE is reached when the VCR is close to 50% of VER.

An asymmetrical crank mechanism which permits in addition to vary the VCR makes possible to realize Atkinson cycles for part and full load even with stoichiometric AFR and without throttling.
REFERENCES


[2] V. Korte, G. Lumsden, N. Fraser, J. Hall, *30% higher efficiency at 50% less displacement*, MTZ 2010
